Main Street 2020
GRANT APPLICATION CHECKLIST

___ Application Form completed

Attachments:

___ Copy of the deed to the property

___ Documentation of current Fire/Hazard/Liability insurance

___ Proof that mortgage is current (e.g., current Mortgage Statement) or notarized statement declaring the property is mortgage-free

___ Proof that City and County Property Taxes are current

___ Photographs of property and project locations. Concept sketches of work to be done (if available)

___ Proof that sufficient funding is available to complete the work prior to reimbursement.

Applications are due by 3/31/20
NEW YORK MAIN STREET PROGRAM REQUIREMENTS

Submitting an application for funding under the New York Main Street (NYMS) Program does not guarantee you will receive a grant. Grants are awarded on a competitive basis. The NYMS Program is intended to promote economic development and historic preservation through comprehensive renovation projects. NYMS funds are not intended to address only minor repairs and deferred maintenance.

Completed project applications are reviewed by an independent committee of neighborhood stakeholders and scored against an objective set of criteria based upon the design and appropriateness of each project.
- Feasible and eligible projects are funded based on their total score, beginning with the highest score and moving down the list until all funding is awarded.
- Incomplete or late project applications are not reviewed.

In order to be complete, an application must include the following documentation:

1. A completed application form with all exhibits and required attachments, including:
   - Proof of site control by providing a copy of the deed
   - Proof the property is secure against loss from foreclosure and hazards. This means the property owner must document that:
     - Any mortgage is paid and up-to-date – provide a current statement from your lender.
     - All City of Buffalo and Erie County property taxes are paid and up-to-date – provide receipts and/or a current escrow analysis.
     - Hazard and general liability insurance for the building are paid and up-to-date – provide proof of payment and a copy of the current declaration page(s).
   - A brief description of the proposed Scope of Work; list the planned interior and exterior work. Photos of the property are required, and one or more concept drawings of the work to be done are also helpful and encouraged.
   - Cost estimates for project costs as provided by a third party

2. NYMS reimburses up to 75% of the eligible project costs, within building limits. Applicants must show proof of available funds equal to the full cost of the project.
   - If receiving a $30,000 building renovation grant, the property owner must have at least $40,000 in available funding to complete the project prior to reimbursement.
   - "Available funds" cannot include: money drawn against a business’ Accounts Receivable; available credit balance on credit cards; or anticipated rents. It can include a loan/mortgage drawn against equity in the property to be renovated.

If you are awarded a NYMS grant, these additional requirements also apply:

3. A formal Scope of Work must be developed that fully specifies interior and/or exterior work that will be done to repair, renovate, and preserve the property.
   - The Scope of Work will be developed with the assistance of an architect, architectural engineer, or construction analyst who is familiar with preservation of historic buildings.
   - The Scope of Work will include a budget that details soft costs, as well as construction costs.
   - Owner must retain the services of a licensed construction analyst/monitor for the duration of the project to ensure contractor compliance with the scope of work.
4. All aspects of construction will be managed by the University District Community Development Association (UDCDA). This includes: development of the specifications and Scope of Work; selection of contractors to bid on the project; preparation and distribution of bid packages; and receipt of sealed bids. The UDCDA will:
   • Involve the property owner in developing the initial Scope of Work
   • Review the final Scope of Work with the property owner;
   • Involve the property owner in selection of bidding contractors;
   • Have the property owner (or a representative) present at bid opening

5. The property owner must maintain the condition and appearance of all improvements to the building for five (5) years following the date of completion. This requirement is enforced by a Property Maintenance Declaration that is filed with the County Clerk’s Office.

6. NYMS projects are subject to the requirements of the State Environmental Quality Review Act (SEQR). The scope of work for the project will determine the required analysis and documentation, but the environmental review will include the following compliance areas:
   • Historic/Cultural Resources (State Historic Preservation Office review);
   • Flood plains;
   • Zoning and Change in Use;
   • Coastal Zones;
   • Site Contamination;
   • Lead Based Paint;
   • Asbestos Containing Materials:
   • Radon; and
   • Wetlands.

7. A residential unit assisted with NYMS funds that is vacant at the time of the renovation or becomes vacant during the regulatory term must be marketed to, and affordable to, households with incomes at or below 90% of the median family income, as adjusted for family size. Local Program Administrators and property owners must meet this requirement through marketing the available units to households at or below the median family income indicated for the funding year, and imposing a rent limit on the assisted unit(s) during the regulatory term.

   Please see attached sheet for rent limits in Erie County.
<table>
<thead>
<tr>
<th>County</th>
<th>2004-2009 Contracts</th>
<th>2010-2019 Contracts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Columbia</td>
<td>$1,105 $1,184 $1,420 $1,641 $1,704 $2,020</td>
<td>$1,243 $1,331 $1,598 $1,846 $1,917 $2,272</td>
</tr>
<tr>
<td>Cortland</td>
<td>$988 $1,059 $1,271 $1,468 $1,525 $1,807</td>
<td>$1,112 $1,191 $1,430 $1,652 $1,716 $2,033</td>
</tr>
<tr>
<td>Delaware</td>
<td>$874 $936 $1,123 $1,298 $1,348 $1,597</td>
<td>$983 $1,053 $1,264 $1,460 $1,516 $1,797</td>
</tr>
<tr>
<td>Dutchess</td>
<td>$1,352 $1,449 $1,739 $2,009 $2,087 $2,473</td>
<td>$1,521 $1,630 $1,956 $2,260 $2,347 $2,782</td>
</tr>
<tr>
<td>Erie</td>
<td>$1,077 $1,154 $1,384 $1,600 $1,661 $1,969</td>
<td>$1,211 $1,298 $1,557 $1,799 $1,869 $2,215</td>
</tr>
<tr>
<td>Essex</td>
<td>$984 $1,055 $1,265 $1,462 $1,518 $1,800</td>
<td>$1,107 $1,186 $1,424 $1,645 $1,708 $2,025</td>
</tr>
<tr>
<td>Franklin</td>
<td>$881 $944 $1,132 $1,308 $1,359 $1,610</td>
<td>$991 $1,061 $1,274 $1,472 $1,528 $1,812</td>
</tr>
<tr>
<td>Fulton</td>
<td>$862 $924 $1,109 $1,281 $1,331 $1,577</td>
<td>$970 $1,040 $1,247 $1,441 $1,497 $1,774</td>
</tr>
<tr>
<td>Genesee</td>
<td>$993 $1,064 $1,276 $1,475 $1,531 $1,815</td>
<td>$1,117 $1,196 $1,436 $1,659 $1,723 $2,042</td>
</tr>
<tr>
<td>Greene</td>
<td>$965 $1,034 $1,240 $1,433 $1,488 $1,764</td>
<td>$1,085 $1,163 $1,395 $1,612 $1,674 $1,984</td>
</tr>
</tbody>
</table>
Main Street 2020

Application

PROPERTY OWNER INFORMATION:

Property Owner Name(s):

Mailing Address:

Daytime Phone: _________________________  E-mail Address:  ______________________________________

BUILDING INFORMATION:

Property Address: __________________________________________________________________________________

Do you own/operate a business at this location?  □ Yes □ No    If yes, business name: ____________________

Does the building have residential units?    □ Yes    □ No    if yes, how many ____________

Is this building listed (or are you interested in finding out if this building is eligible for listing) on the State or National Register of Historic Places?    □ Yes    □ No

1. How many units does the building have today?
   Commercial units: ______
   Residential units: ______

2. How many units would you like to improve with New York Main Street funding?
   Commercial units: ______
   Residential units: ______

3. What percentage of the building(s) is currently occupied? ____________

4. If the building is currently unoccupied, have tenants been identified?    □ YES    □ NO

PROJECT DESCRIPTION

5. Please check which type of project(s) you are proposing (check all that apply)
   □ Facade Improvement (masonry work, roofing, storefront, doors, windows, painting, signage, lighting, etc.)
   □ Commercial Interior Improvement (plaster work, paint, HVAC, plumbing, electric, flooring, etc.)
   □ Residential Units (HVAC, plumbing, electric, flooring, cabinets, etc.)
6. Please describe briefly how you would hope to use grant funds to improve your property:
___________________________________________________________________________________________
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7. Do you have an estimate for the cost of this work? ☐ Yes ☐ No If yes, how much? ________________

8. Has an official bid from a reputable contractor been obtained to verify project costs? ☐ Yes ☐ No

9. If no, how was project cost determined? _________________________________________________________

10. How much of the total project cost will you be able to match (minimum of 25%)? ________________________

11. Are you able to cover 100% of these costs upfront*? ☐ Yes ☐ No

*Please note that the NYMS program is a reimbursement-based grant

11. Do you acknowledge that as part of the grant program, you must maintain the condition and appearance of all improvements made to the building for five (5) years following the date of completion? This requirement is enforced by a Property Maintenance Declaration that is filed with the County Clerk’s Office. ☐ Yes ☐ No

12. Does your project conform to the Main Street Design Guidelines*? ☐ Yes ☐ No

*Download a copy of the Main Street Design Guidelines at www.udcda.org/mainstreetta

13. How do you think your project will positively impact Main Street and the University District community?
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________

I have read and understand all New York Main Street Program Requirements:
___________________________________________________________________________________________

Property Owner Signature
13. Has any senior manager or principal of the applicant or any affiliate ever been convicted of a felony or misdemeanor, other than a minor traffic violation, or are any such charges pending?  
☐ Yes  ☐ No

14. Has the applicant or any affiliate been cited for a violation of federal, state or local laws or regulations with respect to labor practices?  
☐ Yes  ☐ No

15. Is the applicant or any affiliate delinquent on any state, federal or local tax obligations, or has it been delinquent on any such tax obligations within the last 5 years?  
☐ Yes  ☐ No

16. Has the applicant or any affiliate ever been involved in bankruptcy, a creditor’s rights or receivership proceeding, or sought protection from creditors?  
☐ Yes  ☐ No

17. Has the applicant or any affiliate ever settled a debt with a lending institution for less than the full amount outstanding?  
☐ Yes  ☐ No

18. Are there any outstanding judgments or liens pending against the applicant or any affiliate other than liens in the normal course of business?  
☐ Yes  ☐ No

19. Is the applicant or any affiliate presently the subject of any litigation, or is any litigation threatened, which may have a material adverse effect on their financial condition?  
☐ Yes  ☐ No

**REQUIRED ATTACHMENTS**

ATTACHMENT 1 - Site Control Documentation  
If the applicant currently owns the site in question, provide a copy of the deed.

ATTACHMENT 2 - Insurance, Mortgage & Taxes  
Provide proof of hazard/liability insurance, current mortgage statement and proof that property taxes are current.

ATTACHMENT 3 - Cost Estimates  
Provide third party estimates for project costs if these have been completed.

ATTACHMENT 4 - Photos & Drawings  
Photos of property and locations of expected work. Design renderings of proposed project (if available).

ATTACHMENT 5 - Commitment Letters/Bank Statements  
Provide copies of commitment letters or bank statements to confirm ability to fund your project.

ATTACHMENT 6 - Suitability Explanation  
If the answer to any question in the above Suitability Section is “Yes,” explain here.

Applications are due by 3/31/20  
Questions? Contact Darren Cotton at the UDCDA:  
P: 716-832-1010x212  |  E: d.cotton@udcda.org

Please return a completed application along with all attachments to the UDCDA offices located at 995 Kensington Ave., Buffalo, NY 14215. Applications can be dropped off during regular business hours or mailed.  
Late applications will not be accepted.